

1 **Vesicular changes between pahoehoe and aa lava flows:**
2 **A case study of the lagoon section in Okains Bay, New Zealand**

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5
6 **ABSTRACT**

7 There are three basaltic lava flows: pahoehoe, toothpaste, and aa lava flows.
8 Pahoehoe (smooth, billowy, or ropy) can transition into aa (rough, jagged, spinose, and
9 clinkery) lavas if a critical relationship between viscosity and shear strain rate is
10 reached. Toothpaste lava is transitional between the two. Vesicles are more spherical in
11 pahoehoe lavas and more irregular in aa lavas due to deformation from shear stress. It
12 has been observed that there is a decrease in vesicularity and an increase in vesicle
13 deformation across the pahoehoe-aa transition. The lava flows exposed along the lagoon
14 in Okains Bay, New Zealand have not yet been described and doing so will add to the
15 scientific community's knowledge about how vesicularity is affected by transitions from
16 pahoehoe to aa lavas.

17
18 **GEOLOGICAL SETTING**

19 Okains Bay is located in the northeast portion of Banks Peninsula, which is
20 southeast of Christchurch, New Zealand (Fig. 1). Banks Peninsula is composed of three
21 main volcanoes: Lyttelton Volcano (11.0-9.7 Ma) in the west, Akaroa Volcano (9.3-8 Ma)
22 in the east, and the Mt. Herbert Volcanic Group (9.7-8 Ma) in the center (Hampton and
23 Cole, 2009). Late stage activity includes the Church-type lavas (8.1-7.3 Ma) and the
24 Diamond Harbour Volcanic Group (7-5.8 Ma) on eroding flanks and degraded volcanoes
25 (Hampton and Cole, 2009). Okains Bay belongs to the Akaroa Volcano Group, whose
26 composition range from basalt to mugearite (Price and Taylor, 1980).

27 In Okains Bay, a lagoon has cut into some old lava flows from the Akaroa Volcano
28 Group, leaving a nice exposure of several lava flows. The lateral exposure of these lava
29 flows allows detailed examination of transitions from pahoehoe to aa lava flows.

30
31 **BACKGROUND**

32 There are three generally recognized basaltic lavas: pahoehoe, toothpaste, and aa
33 lava flows (Fig. 2) (Macdonald, 1953; Rowland and Walker, 1987). End members

34 pahoehoe and aa lava flows have been defined as “the type of lava...characterized by a
35 smooth, billowy, or ropy surface...[and aa] as the type characterized by a rough, jagged,
36 spinose, and generally clinkery surface”, respectively (Macdonald, 1953). Toothpaste
37 lava flows are common in transitions from pahoehoe to aa lava flows (Rowland and
38 Walker, 1987). They, also called “spiny pahoehoe (Peterson and Tilling, 1980), include
39 both primary lava lobes and lava “extruded from rootless openings or boccas...on
40 pahoehoe flows usually late in an eruption” (Rowland and Walker, 1987).

41 Below, the structure and vesicles of pahoehoe, toothpaste, and aa lava flows are
42 described; a summary can be found in Table 1.

43

44 **Structure of Pahoehoe Lava Flows**

45 Regardless of variation in chemical composition or thickness, thin pahoehoe lava
46 flows (<10 m) can be characterized with 3 zones (Fig. 3): upper and lower vesicular
47 zones with a nonvesicular or dense zone in between the two (Aubele, et al., 1988). The
48 upper and middle zones typically make up 50% and 40% of the total vertical section,
49 respectively, and the lower zone typically 30-40 cm (Aubele, et al., 1988). The change in
50 vesicle abundance between the upper and lower zones with the middle zone is
51 generally quite sharp (Aubele, et al., 1988).

52 Distinguishing internal features of pahoehoe lava flows include the presence of
53 lava tubes, smooth spheroidal vesicles (Macdonald, 1953), and the presence of many
54 small flow units (Nichols, 1936; Walker, 1971).

55

56

57 **Structure of Toothpaste Lava Flows**

58 Toothpaste lava has been well described from the 1960 Kapoho lava of Kilauea
59 Volcano, Hawaii as a transition from pahoehoe to aa (Rowland and Walker, 1987). In
60 the literature, it has also been referred to as “fine aa” (Jones, 1943), “semi-hoe” (Malin,
61 1980), and “drawn-surface pahoehoe” (Foster and Mason, 1955). Its features are due to
62 the lava’s higher viscosity than that of pahoehoe and a slower flow rate than that of aa
63 (Rowland and Walker, 1987). Unlike pahoehoe, centimeter-scale spines are drawn out
64 of the surface of toothpaste lava with the direction of the lava flow, with vesicles in the
65 upper part of the spines exhibiting features caused by this surface drag (Rowland and
66 Walker, 1987). Toothpaste lava and proximal-type aa (aa lava flows can be divided into

67 proximal-type aa and distal-type aa) are alternative types that emerge from lavas of
68 similar viscosities (Rowland and Walker, 1987). Aa is formed when the lava emerges
69 from the broken surface rapidly and toothpaste lava is formed when the surface resists
70 being teared apart by the emerging lava (Rowland and Walker, 1987). Thus, the
71 difference between toothpaste lava and aa is that the former is characterized by an
72 unbroken, rigid crust. Toothpaste-lava units can form either at the flow surface or at the
73 front of a lava flow (Rowland and Walker, 1987). The surface of these toothpaste-lava
74 tongues can be broken into slaty plates, separated due to “the coalescence of gas
75 bubbles beneath them and become stacked when the tongues encountered an obstacle”,
76 usually standing subvertically (Rowland and Walker, 1987).

77

78 **Structure of Aa Lava Flows**

79 As stated before, aa lava flows can be divided into proximal-type aa and distal-
80 type aa (Rowland and Walker, 1987). Proximal-type aa moves forward “with a rolling
81 caterpillar-track motion” whereas distal-type aa gets the rubbly features from the
82 “uprise of the massive flow interior on inclined shear zones (ramp structures)”
83 (Rowland and Walker, 1987). Proximal-type aa is more vesicular than distal-type aa due
84 to shearing mechanisms that eliminate the gas bubbles (Walker, 1989). Aa lava flows
85 can generally be divided into three zones: an upper and a lower zone of breccia
86 (Macdonald, 1953) and a middle zone of massive lava (Cas and Wright, 1987).

87

88 **Structural Changes in Pahoehoe-Aa Transitions**

89 Pahoehoe can change to aa once critical relations, such as the irreversible and
90 inverse relation between viscosity and rate of shear strain, are reached (Fig. 4)
91 (Peterson and Tilling, 1980). Modes of this transition include: 1) “spontaneous
92 formation of relatively stiff clots in parts of the flowing lava where shear rate is
93 highest”, 2) “fragmentation and immersion of solid or semi-solid surface crusts of
94 pahoehoe by rolling movements of the flow”, and 3) “sudden renewed movement of lava
95 stored and cooled within surface reservoirs” (Peterson and Tilling, 1980). As a result,
96 autobrecciation is a characteristic of the pahoehoe-aa transition (Polacci, et al., 1998).

97 This transition from pahoehoe to aa is irreversible; however, secondary
98 pahoehoe flows can break out of the surface of a stationary aa flow (Guest, et al., 2012).
99 This has been observed at Mount Etna, Sicily, and Kilauea Volcano, Hawaii (Guest, et al.,

100 2012; Hon, et al., 2003). At Mount Etna, secondary pahoehoe flows resulted from long
101 duration eruptions where lava tubes are formed and there is a change in slope (Guest, et
102 al., 2012). When slope shallows, lava pressure is increased in the tube, allowing
103 breakouts to occur (Guest, et al., 2012).

104

105 **Vesicles in Pahoehoe and Aa**

106 Vesicles are formed because gas bubbles are trapped as silicate melts are
107 solidifying (Aubele, et al., 1988). This is because the thermal diffusion coefficient of
108 silicate melts is four orders of magnitude higher than the diffusion rate of gases in
109 silicate melts, allowing the cooling thermal wave responsible for the solidification of the
110 flow to travel faster than the gases (Aubele, et al., 1988).

111 Vesicles in pahoehoe often are regular spheroids while vesicles in aa usually are
112 irregularly shaped, caused by continued movement and deformation during the final
113 phases of solidification (Peterson and Tilling, 1980). In pahoehoe, vesicles in the upper
114 and lower zones tend to increase in size and decrease in vesicle density (number of
115 vesicles per unit area) closer to the middle zone (Aubele, et al., 1988; Walker, 1989). A
116 review of the literature has not found a similar description of vesicles in aa.

117

118 **Vesicle Changes in Pahoehoe-Aa Transitions**

119 In their 1998 study of transitions from pahoehoe to aa lava flows at Kilauea
120 Volcano, Hawaii, Polacci, et al. used the method of quantifying vesicular changes by
121 capturing images of hand samples along three mutually perpendicular planes. The
122 planes were oriented along the flow direction, across the flow direction, and parallel to
123 the flow surface. Using NIH Image and Dapple software, the vesicularities (area fraction
124 of vesicles per unit area), the vesicle number densities, and individual vesicle areas
125 were measured. Then it was able to calculate the equivalent diameter (the diameter of a
126 circle with the same area as the vesicle) and the deformation parameter $D=(1-b)/(1+b)$,
127 where 1 and b are major and minor axes of vesicles (; Taylor, 1934). It was shown that
128 as pahoehoe lava flows transition into aa lava flows, there is a general decrease in
129 vesicularities and vesicle densities as well as a general increase in vesicle deformation.
130 These changes were at their highest right before the transition due to the increase in
131 shear strain rate (Duraiswami, et al., 2003). This may be exemplified by the fact that
132 bubble loss through the flow has been observed for active pahoehoe and aa flows; the

133 former due to bubble loss at flow surfaces and the latter due to shearing and bubble
134 collapse (Polacci, et al., 1998).

135

136 **METHODS**

137 In the field, the height of each outcrop was measured and flows were subdivided
138 into units depending on their vesicularity. Units were described in terms of vesicle
139 density, the maximum and/or average vesicle size, and the shape of the vesicles
140 (spherical or elongate). Hand samples were taken from a transitional flow, in which
141 pahoehoe transitions into aa and then breaks out into pahoehoe again.

142 Based on an extensive literature review, a spectrum of basaltic lava flows (with
143 pahoehoe and aa as endmembers) including lithology and vesicular zonation and
144 characteristics was created. Using this, the type of flow exposed at each outcrop was
145 determined. Then the changes in the vesicles throughout each lava flow as they
146 progressed along the pahoehoe-aa spectrum were described.

147 Samples from the section in which a transitional flow turns into a pahoehoe
148 break-out were cut along three mutually perpendicular planes: 1) vertical plane along
149 the flow direction, 2) vertical plane across the flow direction, and 3) horizontal plane
150 parallel to the flow surface. The flow direction was determined based on the orientation
151 of the vesicles. Vesicularities and vesicle number densities were determined from
152 binary images created from photos of these samples created by the NIH program
153 ImageJ.

154

155 **RESULTS**

156 Following the lagoon to the ocean, the lava flow transitions from pahoehoe to aa
157 and breaks out into pahoehoe again (Fig. 5).

158

159 **Description of Lava Flows**

160 The first pahoehoe outcrop had seven distinct flow units with a range of 0-40%
161 vesicularity and the vesicles ranged from 1 to 5 mm in diameter. The vesicles were
162 mostly spherical with a few elongate ones.

163 As the flow moved upward into a subvertical structure, parts of the flow became
164 slaty, indicative of increased shear strain, and the pahoehoe flow transitioned into aa as
165 breccia was formed (Fig. 6). In this chaotic environment, vesicularity had a range of 5-

166 30% and size had a range of <1-5 mm. Both spherical and elongate vesicles were
167 present.

168 About 20 meters later, pahoehoe broke out of the aa. The upper 100 cm had an
169 average flow unit height of 5 cm, 15% vesicularity, and spherical vesicles between <1
170 and 2 mm in size. The lower 50 cm had an average flow unit height of 9 cm, 20-25%
171 vesicularity, and spherical vesicles between <1 and 2 mm in size.

172 Further down, the flow units became larger. The top meter of this outcrop had
173 25% vesicularity with 3 mm irregular but rounded vesicles. The bottom meter had 15-
174 20% vesicularity with <1-2 mm spherical and elongate vesicles.

175 The next section had evidence of shear planes (Fig. 7). The top 30 cm had a
176 vesicularity range of 15-30% and a size range of 1-4 mm, both decreasing downward.
177 Vesicles in this zone were spherical. The next 30 cm had a vesicularity range of 10-30%
178 and a size range of <1-6 mm, the former decreasing and the latter increasing downward.
179 This zone was slaty and vesicles were elongate. The bottom 70 cm had a vesicularity
180 range of 40-45% and a size range of 1-3 mm. These vesicles were spherical.

181 The next section had three flow units. The top was 25-30% vesicular, had an
182 average vesicle size of 8 mm (though the largest found was 8 cm), and had elongate
183 vesicles. The next zone was 10% vesicular with 1 cm elongate vesicles. The bottom zone
184 had 25% vesicularity with 5 mm elongate vesicles.

185

186 **Hand Sample Data**

187 Vesicularity decreased as pahoehoe approached aa, remained relatively same as
188 pahoehoe transitioned into aa, continued to decrease until pahoehoe broke out of aa and
189 it increased (Fig. 8). Vesicle number density increased as pahoehoe approached aa,
190 decreased as pahoehoe transitioned into aa, continued to decrease until pahoehoe
191 broke out of aa and it increased (Fig. 9).

192

193 **DISCUSSION**

194 Since none of the outcrops reveal the full vertical section of the lava flows (the
195 middle nonvesicular and lower vesicular zones are not seen), comparison to the
196 established model of the three-zoned pahoehoe lava flow is not possible. However,
197 changes in vesicularity as pahoehoe progresses can be examined. It seems that the
198 range of vesicularity decreases as pahoehoe progresses. The range was high before and

199 as pahoehoe transitioned into aa until pahoehoe broke out of aa. The shape of the
200 vesicles also changed from more spherical to more elongate and irregular. In areas
201 where pahoehoe becomes slaty, vesicularity and vesicle size decrease.

202 The hand samples show that right at the transition from pahoehoe to aa,
203 vesicularity remains relatively constant and vesicle number density decreases. Before
204 this, vesicularity had been decreasing, which agrees with Polacci, et al.'s argument.
205 Vesicle number density, however, had been increasing. The Polacci, et al. paper states
206 that images of each sample were taken in three different planes in order to determine
207 the three-dimensional morphology of vesicles; however, not all three planes are
208 accounted for in the figures on vesicularity and vesicle number density. This may
209 explain the discrepancy between our different findings. It would be interesting to take a
210 look at the data not included in their paper for comparison. In addition, pahoehoe is
211 generally made up of many flow units of varying vesicularities so the samples I took
212 may not be representative of the entire flow at that point. Perhaps vesicularity should
213 be determined for each flow unit and then a weighted average (based on the volume
214 each flow unit contributes to the flow) can be calculated for a more representative value
215 for vesicularity. On the other hand, the fact that there is a sharp increase in vesicularity
216 and vesicle number density as pahoehoe broke out of the aa shows that there is a
217 change in rheology.

218 For a more detailed study of this area, thin sections can be made to determine
219 how microlite crystallinity changes in the transition and after the pahoehoe break out.

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222

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- 270

271 **FIGURES**

272 Figure 1.

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decompressor
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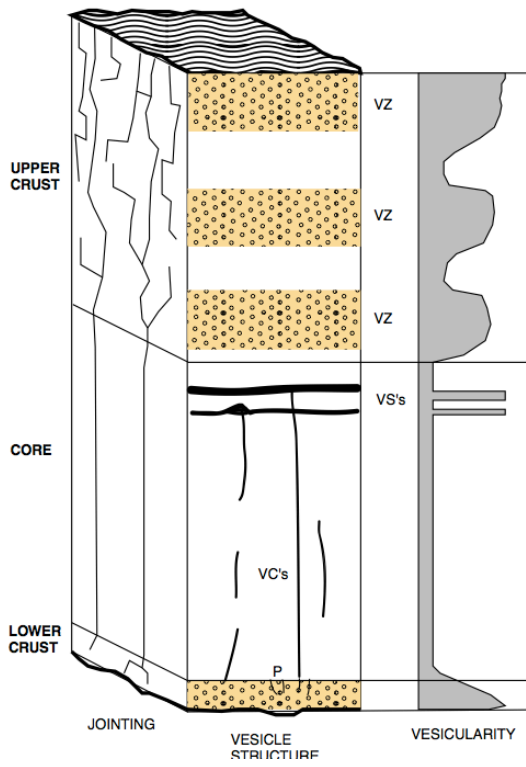
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278 Figure 3.



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Figure 4.

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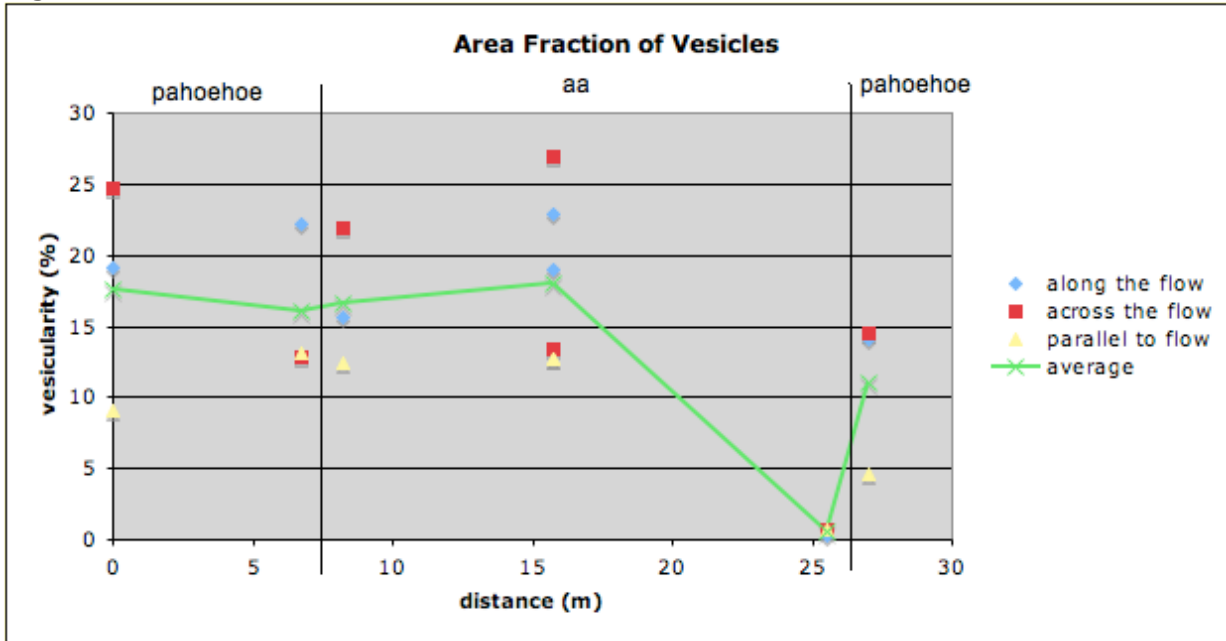
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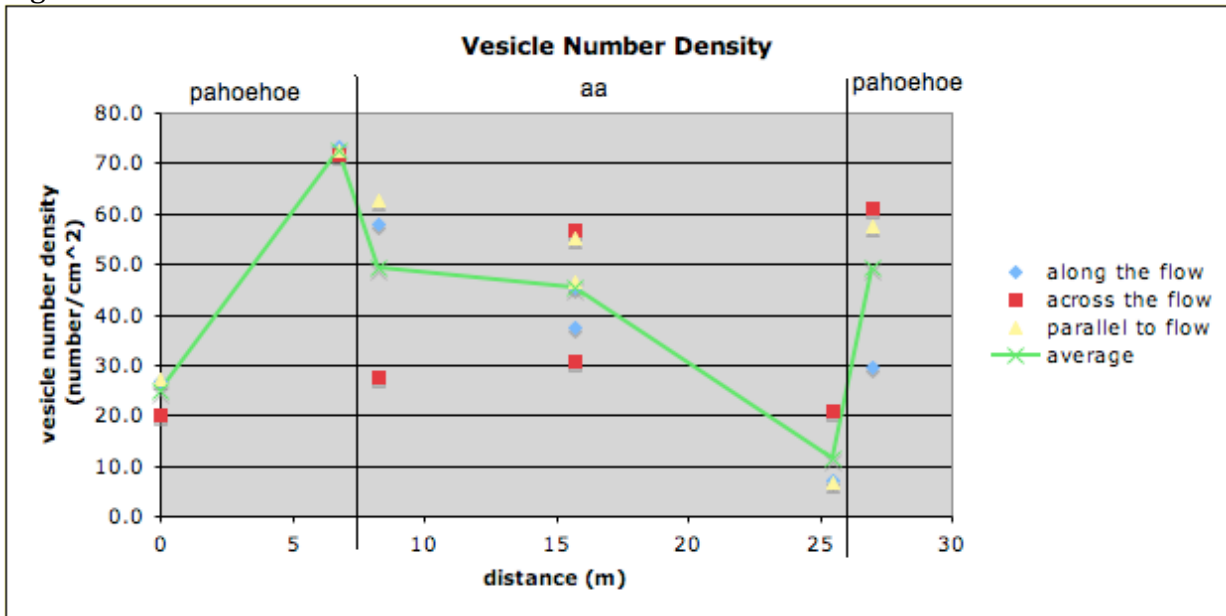
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292 Figure 8.



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Figure 9.



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298 FIGURE CAPTIONS

299 Fig. 1. Geological sketch map of Banks Peninsula (Price and Taylor, 1980).

300 Fig. 2. Schematic diagrams (not to scale) of four basaltic lava flows: a) pahoehoe, with
301 differential flow and rapid healing of crust, b) toothpaste, with differential flow of
302 rigid crust and shearing (S) at margins, c) proximal-type aa, with differential flow
303 causing tearing and rotation of skin and movement toward the levees (L), and d)
304 distal-type aa, a plug flow with loose rubble on the surface and rotated rubble and

305 shearing in marginal zones. The velocity profiles are shown, with the arrow length
306 proportional to flow velocity. (Rowland and Walker, 1987).

307 Fig. 3. Idealized vertical section of a pahoehoe flow, divided into vesicular upper and
308 lower zones with a nonvesicular core in between. The upper and middle zones
309 make up roughly 50% and 40%, respectively, of the total section height. The lower
310 zone generally makes up 30-40 cm, regardless of section height (Polacci, et al.,
311 1998). Vesicle size increases from crust to core (Self, et al., 1998).

312 Fig. 4. Transitional zones between pahoehoe and aa based on the relationship between
313 viscosity (η) and shear strain rate (ϵ) during different flow histories: A) pahoehoe
314 lobe on flat ground, B) pahoehoe flow over steep slope, C) transition from
315 pahoehoe to aa flow over steep slope, D) transition from pahoehoe to aa flow over
316 constant slope, E) transition from pahoehoe to aa as crust gets chilled, and F)
317 transition from pahoehoe to aa due to remobilization. Point E represents the start
318 of eruption and point S represents solidified lava at rest at the end of the flow path
319 (Peterson and Tilling, 1980).

320 Fig. 5. From left to right, the lava flow transitions from pahoehoe to aa through a squeeze-
321 up and breaks out of the aa channel into pahoehoe again. Blue backpack for scale.

322 Fig. 6. A close up of the aa squeeze-up from the pahoehoe as the flow moves upwards.
323 Note the arrows indicating flow direction and the black outlines of breccia and
324 changed flow direction. Rock hammer for scale.

325 Fig. 7. Example of shear lines (indicated by red lines) found in pahoehoe. Rock hammer
326 for scale.

327 Fig. 8. Changes in vesicularity as pahoehoe transitioned into aa and broke out of aa. The
328 left black line denotes the squeeze-out and the right black line denotes the
329 pahoehoe break out of aa.

330 Fig. 9. Changes in vesicle number density as pahoehoe transitioned into aa and broke out
331 of aa. The left black line denotes the squeeze-out and the right black line denotes
332 the pahoehoe break out of aa.

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338 **TABLES**

339 Table 1.

	Pahoehoe	Transitional (i.e., toothpaste)	Aa
Surface Features	-smooth, billowy, or ropy ¹	-spinose ²	-fragmental and spinose ¹
Structure	-vesicular upper and lower zones and a nonvesicular middle zone ³	-unbroken, rigid crust of squeeze-ups ²	-brecciated upper and lower zones and a massive central zone ⁴
Distinguishing Features	-presence of lava tubes ⁵ -presence of many small flow units ⁵	-flow units significantly thicker than those in pahoehoe ⁴	-levee channels ¹
Vesicle Shape	-spheroidal ¹	-elongate	-irregular ¹
Vesicularity and Vesicle Number Density	-higher ³	-intermediate ³	-lower ³
Microlite Crystallinity and Crystal Number Density	-lower ³	-intermediate ³	-higher ³

340

341 **TABLE CAPTIONS**

342 Table 1. Summary table of pahoehoe-aa spectrum. ¹Macdonald, 1953; ²Rowland and
 343 Walker, 1987; ³Polacci, et al., 1998; ⁴Walker, 1971; ⁵Nichols, 1936; Walker, 1971

344

345 **APPENDIX**346 **Pahoehoe A**

Height	Vesicularity	Size	Shape	Notes
30 cm	30%	5 mm	spherical	
12 cm	0%	-	-	
15 cm	5%	1 mm	spherical	slaty
30 cm	30%	3 mm	spherical	
20 cm	20%	5 mm	spherical and elongate	
30 cm	40%	3 mm	elongate	
30 cm	0%	-	-	

347

348 **Aa Squeeze-up**

Height	Vesicularity	Size	Shape
1 m	5-30%	<1-5 mm	spherical and elongate

349

350 **Pahoehoe B (Break-out)**

Height	Vesicularity	Size	Shape
50 cm	7%	5 mm	spherical
50 cm	50%	1-7 mm	elongate

351

352 **Pahoehoe C**

Height	Average Flow Unit Height	Vesicularity	Size	Shape
100 cm	5 cm	15%	<1-2 mm	spherical
50 cm	9 cm	20-25%	<1-2 mm	spherical

353

354 **Pahoehoe D**

Height	Vesicularity	Size	Shape
1 m	25%	3 mm	irregular but rounded
1 m	15-20%	<1-2 mm	spherical and elongate

355

356 **Pahoehoe E**

Height	Vesicularity	Size	Shape	Notes
10 cm	30%	4 mm	spherical	
20 cm	15%	1 mm	spherical	
10 cm	30%	<1 mm	elongate	slaty
20 cm	10%	6 mm	elongate	slaty
50 cm	45%	3 mm	spherical	
20 cm	40%	1 mm	spherical	

357

358 **Pahoehoe F**

Height	Vesicularity	Size	Shape
100 cm	25-30%	8 mm (max 8 cm)	elongate
120 cm	10%	1 cm	elongate
40 cm	25%	5 mm	elongate

359

360 **Hand Samples Data**

Sample No.	Number of Vesicles	Vesicle Area (cm ²)	Total Area (cm ²)	Area Fraction (%)	Vesicle Density (number/cm ²)	Distance From Sample 1 (m)
1D	1028	7.365	38.422	19	26.8	-
1F	550	6.756	27.341	25	20.1	-
1P	827	2.742	30.336	9	27.3	-
2D	3671	11.085	49.983	22	73.4	6.75
2F	1499	2.679	20.942	13	71.6	6.75
2P	1035	1.868	14.305	13	72.4	6.75
3D	1202	3.247	20.807	16	57.8	8.25
3F	443	3.517	16.031	22	27.6	8.25
3P	878	1.745	13.994	12	62.7	8.25

4aD	445	2.256	9.85	23	45.2	15.75
4aF	479	2.273	8.434	27	56.8	15.75
4aP	653	1.511	11.836	13	55.2	15.75
4bD	909	4.586	24.196	19	37.6	15.75
4bF	763	3.345	24.947	13	30.6	15.75
4bP	735	1.99	15.749	13	46.7	15.75
5D	208	0.085	28.585	0	7.3	25.5
5F	215	0.078	10.24	1	21.0	25.5
5P	103	0.111	15.626	1	6.6	25.5
6D	434	2.057	14.612	14	29.7	27
6F	986	2.339	16.144	14	61.1	27
6P	557	0.446	9.696	5	57.4	27